

1 **Title**
2 Geometry matters for sonic tomography of trees

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28 **Abstract**

29 For trees growing in communities, arborists routinely check for evidence of damaged wood during tree
30 risk assessment, and sonic tomography is occasionally used to measure the amount of internal damage in
31 trees. Existing studies investigating the accuracy of commercially available sonic tomography devices
32 have mostly considered a limited range of measurement conditions, limiting their application in practice.
33 Using measurements incorporating greater variability in test conditions, this study examined the accuracy
34 of sonic tomography by comparing the percent damaged cross-sectional area in tomograms with the
35 destructively measured internal condition of trees. Although the accuracy of tomograms differed between
36 the examined temperate and tropical tree species, the variation was largely explained by underlying
37 differences in the cross-sectional geometry of the measured tree parts. The amount of decay was
38 repeatedly underestimated in measurements of small, circular cross sections, and, conversely, it was
39 consistently overestimated in measurements of large, irregularly shaped cross sections. Using different
40 approaches to generating and interpreting tomograms, a wide range of decay estimates was obtained for a
41 given set of measurements. By adjusting software settings, it was possible to obtain tomograms with the
42 least error for a given cross-sectional geometry, and the tomograms could be visually interpreted to
43 similarly compensate for the anticipated measurement error. Although practitioners can use the identified
44 strategies to compensate for the expected measurement error in different situations, there is also a
45 fundamental need to develop improved measurement and analysis routines for sonic tomography relying
46 on physically realistic assumptions about acoustic wave propagation in wood.

47

48 **Keywords**

49 Cross-sectional geometry; Decay; Image analysis; Risk assessment; Tomogram; Wave velocity

50

51 **Key Message**

52 Due to the simplifying assumptions used to analyze acoustic wave propagation in trees, the accuracy of
53 sonic tomograms varies significantly according to the geometry of the measured tree part.

54

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57 assistance with sonic tomography and destructive verification in Singapore.

58

59 **Introduction**

60 When present, internal decay, cracks, and cavities may decrease the load-bearing capacity of tree parts,
61 and damaged wood is often an important concern during tree risk assessment (Smiley et al. 2017). After
62 discovering evidence of internal defects, practitioners occasionally use sonic tomography to measure the
63 amount of internal damage in standing trees (Johnstone et al. 2010). By measuring the apparent speed of
64 acoustic stress waves transmitted through a tree part, sonic tomography estimates the extent of damaged
65 wood associated with relatively low acoustic transmission speeds (Arciniegas et al. 2014).

66

67 The information contained in sonic tomograms must be reliable to inform tree management decisions,
68 especially if internal damage is a governing consideration for tree risk assessment. During tree risk
69 assessment, the amount of information available to arborists can influence their judgments about the risk
70 presented by a tree, but the availability of additional information, without clear guidance on the
71 interpretation of data, may not always lead to agreement among arborists assessing tree risk (Koeser et al.
72 2017). Despite numerous validation studies of sonic tomography (Wang et al. 2009; Brazee et al. 2011;
73 Liang and Fu 2012a; Ostrovsky et al. 2017), the contributions of various factors towards measurement
74 uncertainty remain poorly understood.

75

76 Recent work showed that sonic tomography can be used to estimate the decreased load-bearing capacity
77 of damaged tree parts, but the limitations of the technique must be considered when it is used for this
78 purpose (Burcham et al. 2019). Several existing studies, mostly involving measurements of cylindrical
79 tree parts in temperate climates, compared sonic tomograms with the destructively measured internal
80 condition of trees (Wang et al. 2009; Brazee et al. 2011; Li et al. 2012; Ostrovsky et al. 2017). Although
81 tomograms generally depicted internal features correctly, some authors reported that sonic tomography
82 underestimated the size of decayed areas (Liang et al. 2007; Wang et al. 2009; Burcham et al. 2019) and
83 overestimated the size of cracks (Wang et al. 2007b), but the measurement of cracks also depends on the
84 type of discontinuity, such as radial or ring shakes, and the position of acoustic sensors. These studies

85 usefully assisted the interpretation of tomograms by documenting the performance of various commercial
86 devices, but most studies were limited to small sample sizes incorporating modest variability across the
87 range of all possible measurement conditions (Gilbert and Smiley 2004; Wang et al. 2007b, 2009; Li et al.
88 2012; Liang and Fu 2012b).

89

90 Some studies have reported challenges using sonic tomography on large stems with irregular cross-
91 sectional geometries (Rabe et al. 2004), including buttressed tropical trees (Gilbert et al. 2016). For such
92 trees, it is increasingly difficult to determine the location of measurement positions around the tree,
93 especially using some methods provided by manufacturers of commercial devices (Rust 2017). Under
94 these circumstances, the simplifying assumption that acoustic stress waves propagate along straight paths
95 is more commonly violated (Gilbert et al. 2016), and the prediction error between the apparent and actual
96 acoustic speeds worsens. These challenges are practically significant because arborists often measure the
97 extent of decay near the root flare, where severe decay (Schwarze et al. 2000) and large wind-induced
98 bending moments (Ennos 2012) commonly occur. Given the importance of decay assessment with sonic
99 tomography for tree risk management, this study examined the accuracy of sonic tomography across a
100 wide range of measurement conditions, including tree parts with varied cross-sectional geometries, to
101 guide the interpretation of sonic tomograms during decay assessment. Given the device's simplifying
102 assumptions about acoustic wave propagation in wood, it was expected that measurement error would be
103 greater for large, irregularly shaped trees. In addition, the possible use of techniques for managing
104 measurement uncertainty was evaluated by systematically examining changes in the accuracy of
105 tomograms using different software settings and interpretation strategies.

106

107 **Methods**

108 *Sites and trees*

109 Trees showing obvious indicators (e.g., fruiting structures, cavities) of internal decay were selected for
110 use in this study from two different sites, including a temperate deciduous forest in northwest

111 Connecticut, USA and tropical urban landscapes in Singapore. The distinct size distribution and species
112 composition of trees growing on the two sites allowed a combined sample of tomograms with
113 considerable variability in measurement conditions, especially the cross-sectional geometry of tree parts.
114 In total, 41 individual trees from three temperate (*Acer saccharum*, *Betula alleghaniensis*, *Fagus*
115 *grandifolia*) and seven tropical (*Khaya grandifoliola*, *Lonchocarpus sericeus*, *Peltophorum pterocarpum*,
116 *Pterocarpus indicus*, *Sandoricum koetjape*, *Syzygium grande*, *Tamarindus indicus*) species were
117 examined using sonic tomography. The temperate trees were selected during a site survey to identify trees
118 for a separate experiment in 2014, and the tropical trees were identified opportunistically from scheduled
119 tree removals between 2018 and 2020. All trees had a diameter at breast height (1.37 m above ground)
120 exceeding 0.3 m. See Marra et al. (2018) for more information about the trees selected from Connecticut.

121

122 *Sonic tomography and destructive measurements*

123 Using a PiCUS® Sonic Tomograph 3 (IML Electronic GmbH, Rostock, Germany), sonic tomography
124 was used to estimate the internal condition of each tree at one or more locations distributed along the
125 lower trunk (see supplementary materials for the species, measurement height, and geometry of individual
126 cross sections). The measurements were conducted by following the manufacturer's instructions, and the
127 location of each sensor position was determined using the free shapes geometry workflow in the PiCUS®
128 Q74 software with distance measurements obtained from the PiCUS® caliper. The acoustic sensors were
129 distributed around the perimeter of each cross section at an average density of 5.4 sensors·m⁻¹, within the
130 range (2.5 – 6.7 sensors·m⁻¹) recommended by the manufacturer. For each set of measurements,
131 tomograms were generated using the two calculation settings (SoT 1 and SoT 2) available in the software,
132 and the color scale for each resulting tomogram was displayed using either the default (50%) or expanded
133 (0%) maximal color space. Using the expanded maximal color space, the tomogram color scale was
134 distributed over the entire range of apparent acoustic speeds, instead of only illustrating limited variation
135 in apparent acoustic speeds up to 50% of the reference speed. SoT 1 is the default tomogram calculation
136 setting in the Q74 software. Using the assumed linear travel paths between sensors, the software

137 determines the apparent speed at each path intersection as the fastest value among all measurements
138 passing through the same point. The SoT 1 calculation setting uses the resulting set of apparent speeds for
139 image reconstruction, but the SoT 2 calculation additionally detects and removes artefacts caused by
140 erroneous slow intersections before image reconstruction. In some cases, the additional step in the
141 analysis process reduces the size of areas with relatively slow apparent speeds in tomograms created
142 using SoT 2. Apart from general details, the manufacturer does not provide detailed information allowing
143 an independent implementation of the entire analysis process. In total, four different tomograms were
144 generated from each set of measurements using unique combinations of the software settings (SoT 1 –
145 default, SoT 1 – expanded, SoT 2 – default, SoT 2 expanded). See the supplementary materials for
146 examples of tomograms created using the four software settings.

147

148 After tomographic measurement, the trees were felled and sectioned at the location of each tomogram,
149 and each exposed cross section was photographed, using an XE3 camera (Fujifilm Corporation, Tokyo,
150 Japan) with a 14 mm lens, with a scale reference and the camera lens orthogonal to the cross section.
151 Subsequently, the digital images were manually binarized, by selecting specific objects using the quick
152 selection tool in Photoshop (Adobe, San Jose, CA), into black (0) and white (1) images depicting the
153 absence or presence, respectively, of solid, undamaged wood (Figure 1). During binarization, the visible
154 features associated with fungal decomposition (e.g., discoloration, pigmentation, zone lines, cavities)
155 were used to manually identify damaged wood, and the region enclosed by the outer trunk boundary,
156 excluding the bark, was used to define the maximum possible extent of solid wood. The use of visible
157 features to determine the extent of internal damage is consistent with most existing studies (Gilbert and
158 Smiley 2004; Brazee et al. 2011; Liang and Fu 2012b; Ostrovsky et al. 2017). For some tropical trees,
159 longitudinal internal voids were formed by natural grafting between adjacent buttress roots during
160 secondary growth (Figure 1), and these features, distinguished by the presence of bark on the enclosed
161 interior surfaces, were classified as damaged wood during binarization, since they would similarly impede
162 acoustic wave transmission.

163
164 The accuracy of sonic tomography was examined by comparing each sonic tomogram with its paired
165 binary image of the measured cross section. Although the interpretation of sonic tomograms may require
166 the examination of several different diagnostic features, the amount of decay was primarily used to
167 examine agreement between sonic tomograms and binary images in this study, since it largely explained
168 errors in strength loss estimates derived from tomograms in an earlier analysis of measurements from the
169 temperate species (Burcham et al. 2019). For each tomogram and binary image, the percent total damaged
170 cross-sectional area, A_D (%), was computed, using the image processing and numerical analysis procedure
171 outlined by Burcham et al. (2019). Briefly, the solid and damaged regions in each tomogram were
172 selected using specific color ranges in the HSV or LAB color space associated with the blue trunk
173 boundary outline or visualized decay pattern, and a binary mask was created by assigning positive binary
174 values (1) to all pixels containing values within the specified ranges. After the binarization tomograms
175 and photographs (described earlier), the boundaries of features in binary images were traced to produce a
176 list of coordinates for the perimeter of each shape, and the intrinsic image coordinates (row, column) were
177 converted to Cartesian coordinates (x, y) using a reference object relating the physical extent of each
178 pixel. The resulting set of n clockwise-ordered coordinate pairs described a simple, closed curve
179 enclosing a region of solid or damaged wood.

180
181 Two different color combinations were used to select damaged parts in sonic tomograms: green, violet,
182 and blue (GVB) and violet and blue (VB). In PiCUS® sonic tomograms, green demarcates transitional
183 areas between damaged and solid wood with intermediate apparent speeds, and the damaged area reported
184 by the software consistently excludes green from estimates. However, the binary treatment required a
185 classification for all areas in tomograms, and the two extreme cases – including and excluding all green
186 areas – were examined in this study. For each estimate, $A_D(\text{error})$ was computed as the difference between
187 A_D determined from sonic tomograms and A_D determined from the corresponding binary image. Using

188 this formulation, a positive and negative $A_D(\text{error})$ indicated an overestimate and underestimate,
189 respectively, of the actual amount of decay using sonic tomography.

190

191 To examine the effect of the cross-sectional geometry of measured tree parts on $A_D(\text{error})$, several
192 geometric properties were estimated using the trunk boundary outline obtained from the binary image of
193 each tree. For each binary image, the cross-sectional area, A (m^2), was computed using:

$$194 \quad A = 1/2 \sum_{i=1}^n (x_i y_{i+1} - x_{i+1} y_i), \quad \text{Eq. 1}$$

195 where (x_i, y_i) , $\{i \mid \in 1 \dots n\}$, are the coordinate pairs of the solid trunk boundary outline. See Burcham et
196 al. (2019) for a detailed summary of the image analysis procedure for extracting boundary coordinates. In
197 addition, three dimensionless measures of shape, unaffected by scale and orientation, were used in this
198 study. The resemblance of each cross-sectional shape to an idealized circle was assessed using circularity,
199 C :

$$200 \quad C = 4\pi A/P^2, \quad \text{Eq. 2}$$

201 where P (m) is the perimeter of the shape:

$$202 \quad P = \sum_{i=1}^n \sqrt{(x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2}. \quad \text{Eq. 3}$$

203 The convexity of each cross-sectional shape was assessed by computing its solidity, S :

$$204 \quad S = A/A_{conv}, \quad \text{Eq. 4}$$

205 where A_{conv} is the cross-sectional area of the convex hull (Barber et al. 1996) for the same shape. Using
206 this definition, S will be relatively low and high for concave and convex shapes, respectively. The
207 eccentricity, E , of each cross-sectional shape was examined using:

$$208 \quad E = \sqrt{1 - (\min(\mathbf{V})/\max(\mathbf{V}))^2}, \quad \text{Eq. 5}$$

209 where \mathbf{V} is a column vector containing the eigenvalues of the covariance matrix computed from the list of
210 boundary coordinates for each cross section. In this formulation, the principal axes of the shape
211 coordinates were used to construct an ellipse, and E was defined as the aspect ratio of the distance
212 between the ellipse foci and major axis length. For each case, the eigenvalues were inspected to ensure

213 none of the values were repeated. All image processing and numerical analyses were performed in
214 MATLAB R2019b (MathWorks, Natick, MA, USA).

215

216 *Statistical analysis*

217 Two linear models were fit to the data. First, analysis of variance (ANOVA) was used to examine
218 differences in $A_D(\text{error})$ among sonic tomography measurements conducted on the four species with the
219 greatest number of observations, after excluding data from species with fewer than three observations.
220 The analysis was conducted using measurements obtained from tomograms generated using the default
221 settings (SoT 1 – default) of the PiCUS® Q74 software, and only violet and blue (VB) were used to select
222 damaged parts in tomograms, consistent with the default settings in the software. The model had one
223 fixed effect with four levels (species: *A. saccharum*, *B. alleghaniensis*, *F. grandifolia*, *P. indicus*), and
224 mean separation was performed, as necessary, using Tukey’s Honestly Significant Difference test.

225

226 Second, analysis of covariance (ANCOVA) was used to examine the effect of software settings (SoT 1 –
227 default, SoT 1 – expanded, SoT 2 – default, SoT 2 – expanded) and tomogram color interpretation (GVB,
228 VB) on $A_D(\text{error})$, after accounting for the cross-sectional geometry of measured tree parts. For a given
229 geometry, the analysis sought to determine the software settings, which are used to generate tomograms,
230 and color combinations, which are used to distinguish damaged from undamaged wood, that produced the
231 most accurate diagnostic assessment of a tree’s internal condition. Other than adjustments to the
232 calculation settings and color space, all other software settings were maintained at their default values.

233 The geometric properties were highly correlated with one another, with Pearson correlation coefficients
234 exceeding 0.5 in all pairwise cases, and principal component analysis (PCA) was used to avoid fitting
235 models affected by multicollinearity by reducing the dimension of a set of highly correlated covariates.

236 The linear combination of geometric properties summarizing a majority of variance in their values was
237 subsequently used as a covariate in model development. To ensure data from each treatment were
238 adequately described by a linear regression model, the normality and homogeneity of errors were

239 examined by inspecting plots of residuals against the dependent variables, and the suitability of a linear
240 function was assessed using the F -test for lack of fit (Kutner et al. 2004). The covariates exhibiting a
241 significant linear relationship with $A_D(\text{error})$ were retained in the model. For the selected covariate(s), the
242 homogeneity of slopes among levels of the fixed effect was tested and, if rejected, an unequal slopes
243 model was used for the associated covariate. Fixed effects were tested at the mean value of the selected
244 covariates. For significant fixed effects, LS means were computed using multiple values over the
245 observed range of each selected covariate, and mean separation was performed using Tukey's Honestly
246 Significant Difference test at specific combinations of the covariate values. Statistical analyses were
247 performed using SAS 9.4 (SAS Institute, Inc., Cary, NC, USA) using proc mixed.

248

249 **Results**

250 *Geometry of measured cross sections*

251 The average cross-sectional area of tree parts examined in this study was much greater for tropical than
252 temperate species (Table 1). In addition, the cross-sectional shape of tree parts measured on tropical
253 species was, on average, much less circular and more concave, with more portions of the trunk perimeter
254 curved inward between buttress roots or other features. Although the tropical cross sections were, on
255 average, more eccentric than their temperate counterparts, the eccentricity of temperate and tropical cross
256 sections extended over a similar range of values. After felling, visual inspection showed decay,
257 discoloration, and cavities occasionally present in the measured cross sections, but none of the cross
258 sections contained large cracks. On average, the decay columns occupied a similar proportion of the
259 cross-sectional area among temperate and tropical species.

260

261 *Accuracy of sonic tomograms*

262 Among all sonic tomography measurements, $A_D(\text{error})$ varied between -52.8% and 87.7%, with the actual
263 amount of damaged wood occasionally minimized or exaggerated in tomograms, depending on the
264 software settings and tomogram color interpretation. Using the default software settings (SoT 1 – default)

265 to generate tomograms, ANOVA showed that mean $A_D(\text{error})$ varied significantly among species (Table
266 2), assuming only violet and blue (VB) depicted damaged areas. Compared to the binary images,
267 $A_D(\text{error})$ was consistently negative and positive in tomograms generated from measurements of the
268 temperate and tropical species, respectively. Although there was not a significant difference in mean
269 $A_D(\text{error})$ among the three temperate species, the average $A_D(\text{error})$ for the tropical *P. indicus* was
270 significantly greater than all three temperate species.

271

272 *Influence of cross-sectional geometry on tomogram accuracy*

273 Alongside differences in $A_D(\text{error})$ between the temperate and tropical species, the accuracy of tomograms
274 covaried with all of the analyzed measurements of cross-sectional geometry. For all settings and colors
275 used to process and interpret tomograms, there were significant correlations between $A_D(\text{error})$ and A , C ,
276 S , and E (Figure 2). $A_D(\text{error})$ was positively correlated with A and E and negatively correlated with C and
277 S . For all treatment combinations, linear regression showed a significant linear relationship between
278 $A_D(\text{error})$ and each size or shape variable. Among the three shape variables, the average rate of change in
279 $A_D(\text{error})$ was greatest over a unit change in S , and C and S consistently accounted for greater variability
280 in $A_D(\text{error})$ than other geometric variables (Table 3). In contrast, the regression models showed weaker
281 relationships between $A_D(\text{error})$ and other variables; E consistently explained the least amount of
282 variability in $A_D(\text{error})$. For all regression models, the residuals appeared normally and uniformly
283 distributed, and there was no evidence of a lack of fit using a linear function to model relationships.

284

285 After testing all covariates in a factorial model, A ($F = 2.66$; $df = 8, 536$; $p = 0.007$), C ($F = 4.85$; $df = 8,$
286 536 ; $p < 0.001$), and S ($F = 3.28$; $df = 8, 536$; $p = 0.001$) were selected to account for the relationship
287 between $A_D(\text{error})$ and cross-sectional geometry, but they were all highly correlated with one another ($|r|$
288 > 0.75). Using PCA, A , C , and S were linearly combined along a single axis accounting for 90% of
289 variance in the three-variable space; the derived factor, depicting geometric variation in size and shape

290 variables, was negatively loaded with A (-0.91) and positively loaded with C (0.97) and S (0.95), with
291 positive and negative values along the derived axis representing small, circular, convex and large, non-
292 circular, concave shapes, respectively. The slopes describing the change in $A_D(\text{error})$ over a unit change in
293 the geometry covariate, G (dimensionless), obtained using PCA varied significantly between the
294 examined software settings ($F = 32.36$; $df = 3, 563$; $p < 0.001$) and tomogram colors ($F = 18.49$; $df = 1,$
295 563 ; $p < 0.001$), and unequal slopes were used to describe the relationship between $A_D(\text{error})$ and G for
296 each software setting and tomogram color set. For estimates obtained using each of the calculation
297 settings and tomogram colors, the slope coefficients showed $A_D(\text{error})$ decreased over a unit change in G ,
298 but rate of change in $A_D(\text{error})$ was greatest for the default software settings (SoT 1 – Default) and larger
299 color set (GVB) (Table 4).

300
301 After accounting for the cross-sectional geometry of the measured tree parts, ANCOVA showed that the
302 accuracy of tomograms, in terms of $A_D(\text{error})$, was, at the mean value of the geometry covariate ($G = 0$),
303 significantly affected by the various software settings and colors used to generate and interpret
304 tomograms, but the different measurement configurations did not interact with one another to affect
305 $A_D(\text{error})$ (Table 4). Mean separation was performed at three values spanning the range of G observed in
306 the data. At three values of the covariate, the mean $A_D(\text{error})$ associated with the four software settings
307 used to produce tomograms varied inconsistently (Table 5). At $G = -2.77$, there were significant
308 differences among mean $A_D(\text{error})$ associated with the four software settings used to produce tomograms,
309 except for tomograms displayed using the expanded and default maximal color space for the SoT 1 and
310 SoT 2 calculation settings, respectively. At $G = 0$, the mean $A_D(\text{error})$ varied significantly between all
311 software settings used to produce tomograms. At $G = 0.85$, there was a significant difference in mean
312 $A_D(\text{error})$ between tomograms displayed using the expanded and default maximal color space for the SoT
313 1 and SoT 2 calculation settings, respectively, but the mean $A_D(\text{error})$ did not significantly vary among the
314 remaining software settings. Unsurprisingly, the mean $A_D(\text{error})$ was also significantly different between

315 the two color combinations used to select damaged parts in tomograms, since the measurements used
316 different portions of the images.

317

318 *Strategies for managing measurement uncertainty*

319 The formulation of $A_D(\text{error})$ indicated that the accuracy of tomograms increased as values approached
320 zero, and the LS means showed that the most suitable choice of measurement configurations for sonic
321 tomography depended on the cross-sectional geometry of the measured tree part (Table 5). For all cross-
322 sectional areas, $A_D(\text{error})$ was consistently positive (damage overestimated) and negative (damage
323 underestimated) on large, non-circular, concave ($G = -2.77$) and small, circular, convex ($G = 0.85$) cross-
324 sectional shapes, respectively. $A_D(\text{error})$ moderated towards zero as G decreased below zero, and the
325 $A_D(\text{error})$ associated with some software settings was not significantly different from zero in some cases.
326 For $G > 0$, $A_D(\text{error})$ was minimized by using the SoT 1 calculation settings with the default maximal
327 color space (SoT 1 – default) and a larger portion of the tomogram color set (GVB) to compensate for the
328 underestimated damaged area. In contrast, $A_D(\text{error})$ progressively worsened towards greater positive
329 values as the size of non-circular, concave cross sections increased. For $G \ll 0$, $A_D(\text{error})$ was minimized
330 by using the SoT 2 calculation settings with the expanded maximal color space (SoT 2 – expanded) and a
331 smaller portion of the tomogram color set (VB) to compensate for the overestimated damaged area.

332

333 **Discussion**

334 This study demonstrated considerable differences in the accuracy of sonic tomography over a range of
335 measurement conditions for one commercially available device. Consistent with most existing studies, the
336 decayed area in small cylindrical cross sections was repeatedly underestimated in sonic tomograms
337 (Gilbert and Smiley 2004; Deflorio et al. 2008; Wang et al. 2009; Liang and Fu 2012b; Marra et al. 2018;
338 Burcham et al. 2019), but the accuracy of sonic tomography was very different for measurements of large,
339 irregularly-shaped cross sections, with the amount of decay repeatedly overestimated, by as much as
340 87.7%, in sonic tomograms. Although other studies reported that cracks were overestimated in sonic

341 tomograms (Wang et al. 2007b), the possibility of tomograms inaccurately depicting an excessive amount
342 of decay has not been previously reported. In this study, the visual binarization process may have
343 inaccurately classified some parts of the examined cross sections, especially the advancing margins of the
344 decay column containing early stages of fungal decomposition. However, the presence of advanced
345 decomposition was visually obvious throughout most of the decay columns examined in this study, and it
346 is unlikely that the observed patterns in the accuracy of tomograms were strongly altered by classification
347 error during binarization. In the future, it will also be useful to compare visual classification with
348 quantitative measurements, such as hardness (Liang and Fu 2012b) or density (Rabe et al. 2004), for
349 determining the accuracy of sonic tomograms.

350
351 Although the accuracy of sonic tomograms varied between the tropical and temperate tree species
352 examined in this study, the divergent error rates were largely explained by underlying differences in the
353 cross-sectional geometry of measured tree parts. Compared to temperate forests, the greater prevalence of
354 buttressing among trees growing in lowland tropical forests has been extensively documented (Davis and
355 Richards 1934; Smith 1972), and it will be important for arborists, especially in the lowland tropics, to
356 appreciate the contrasting expectations for the accuracy of sonic tomography in different situations. It will
357 be valuable to confirm the results in this study with additional observations from tree parts with a range of
358 cross-sectional geometries, but the discrepancy between temperate and tropical measurements illustrates
359 the importance of studying similar issues outside conditions adequately represented in existing studies,
360 especially temperate North America and Europe. In one existing study, Ostrovsky et al. (2017) reported
361 that the accuracy of sonic tomograms was not affected by the eccentricity or size of the measured cross
362 sections, but the study used observations mostly confined to small trees with regular cross-sectional
363 shapes.

364
365 There are several possible explanations for the observed differences in error rates. On large, irregularly
366 shaped cross sections, it may be increasingly difficult to accurately measure the two variables required to

367 compute apparent speed (i.e., travel distance and time). The location of acoustic sensors around the tree
368 perimeter must be determined accurately because most devices infer travel distance from the pairwise
369 linear distances between all sensors. Among existing commercial devices, the caliper triangulation
370 process for geometry measurement is less susceptible to error on non-circular trees (Rust 2017), and there
371 are several promising alternative geometric measurement techniques worth considering (Rust 2021). In
372 addition to improving the accuracy of such measurements, there is a need to increase the speed and
373 productivity of geometry measurement workflows for sonic tomography, since it is one of the most time-
374 consuming tasks in a lengthy process (Balas et al. 2020). The PiCUS® software manual recommends
375 installing sensors uniformly around the perimeter of the cross section with a spacing between 15 and 40
376 cm, and the sensors should be situated on the outermost extent of individual buttresses and the innermost
377 part of adjacent indentations. Especially for the irregularly shaped trees, it was occasionally necessary to
378 adjust the placement of sensors to allow for their installation or measurement with the calipers, and the
379 lack of conformity with recommendations may have contributed to unknown error in some tomograms.

380

381 In addition, the measurement and image reconstruction techniques used by some commercial devices to
382 produce tomograms rely on the assumption that acoustic waves propagate along straight rays through an
383 isotropic medium (Arciniegas et al. 2014), but wood transmits acoustic waves at different speeds in the
384 three principal directions along which it is organized (Schubert et al. 2009), resulting in curved pathways
385 (Espinosa et al. 2019, 2020b). Although several researchers have proposed new inversion algorithms
386 accounting for material anisotropy (Maurer et al. 2006; Liu and Li 2018; Espinosa et al. 2020a), the
387 methods are not commercially available for practical use, and there is a need for more work to examine
388 the reliability of new techniques and identify opportunities for further improvement. In decayed trees,
389 altered wood material properties caused by the host-fungus interaction also distort acoustic wave
390 propagation. Decomposed wood is often surrounded by reaction zones containing antimicrobial
391 polyphenolic deposits and, occasionally, barrier zones containing highly suberized tissue (Pearce 1996),
392 and the associated heterogeneity in material properties further confounds methods used for image

393 reconstruction. For the PiCUS®, the manufacturer provides limited information about the differences
394 between the calculation settings used to produce tomograms, but they recommend using SoT 2 in most
395 situations, except for trees with incipient or brittle decayed wood, often caused by *Kretzschmaria deusta*
396 infections (Schwarze et al. 1995), situated near the center of the tree part.

397

398 Apart from material anisotropy and heterogeneity, the assumption of straight travel paths is additionally
399 violated on concave cross sections, since the acoustic waves must travel around indentations between
400 adjacent buttress roots (Gilbert et al. 2016), and this likely explains the strong relationship between
401 $A_D(\text{error})$ and S observed in this study. The lowest solidity values were generally observed on trees with
402 large buttress roots, and the manufacturer recommends installing sensors on all three sides of a buttress
403 root to estimate apparent speeds for the associated areas. However, the protruding buttresses often
404 complicate measurements of nearby sensors with the calipers, and the additional sensors may not
405 compensate for the incorrect path trajectories near buttresses.

406

407 In future work, it will be important to examine the influence of other factors on the accuracy of sonic
408 tomography, such as the size and position of decay columns with respect to acoustic sensors. For
409 example, an acoustic wave's short deviation around small decayed areas creates a small increase in travel
410 time and, given the assumed straight travel paths, a modest decrease in apparent speed, but the sensitivity
411 of the image reconstruction process to small differences in apparent speed could affect the accuracy of the
412 resulting tomogram. Some authors reported that tomogram accuracy generally improved with the number
413 of uniformly-distributed acoustic sensors (Divos and Divos 2005; Liang and Fu 2014), but the marginal
414 improvement in tomogram accuracy diminished noticeably with more than 12 sensors on small, round
415 tree parts with diameters between 20 and 30 cm (Divos and Divos 2005). Since measurement effort
416 increases with additional sensors, it will be important to develop strategies for installing limited acoustic
417 sensors to create a relatively uniform distribution of path intersections, especially for large, irregularly
418 shaped tree parts.

419

420 Even without a clear explanation for the different error rates, it is important to document and report the
421 limitations of sonic tomography to better inform tree risk management decisions. In many cases, the
422 information contained in sonic tomograms is used to estimate the decreased load-bearing capacity of the
423 measured tree part, and the practical implications of the observed measurement uncertainty for similar
424 applications depends on the sign of $A_D(\text{error})$. For small, circular, convex cross sections, the negative
425 $A_D(\text{error})$ will contribute towards an estimate of the load-bearing capacity exceeding the true value, and
426 this may prevent the structural condition of the tree from receiving the attention it deserves. Conversely,
427 the positive $A_D(\text{error})$ associated with large, non-circular, concave cross sections will contribute towards
428 an inadequate assessment of the load-bearing capacity, and this may increase the possibility of
429 intervening unnecessarily to mitigate the risk of tree failure, especially since larger tree parts can cause
430 more severe consequences if they impact a target.

431

432 In the absence of additional work to improve devices, this study outlines several practical ways for
433 arborists to minimize measurement error in their work. Depending on the cross-sectional geometry of the
434 measured tree part, the software calculation settings can be adjusted to minimize $A_D(\text{error})$, and the
435 tomograms can be interpreted using specific color ranges to further compensate for the anticipated
436 measurement error. In this study, tomogram error was minimized for small, circular trees using the default
437 software settings, but the alternate calculation settings (SoT 2) and expanded maximal color space
438 improved tomograms, in terms of $A_D(\text{error})$, for large, irregularly shaped trees. The tomogram colors
439 associated with the actual extent of decay also depended on the geometry of the measured tree part, and
440 practitioners should consider the possible tendency towards under- and overestimating the extent of decay
441 on small, circular and large, irregularly shaped cross sections, respectively, when interpreting tomograms.
442 Since the measurements were generally more accurate on small, circular cross sections, arborists should
443 account for the additional measurement uncertainty in their judgments when using sonic tomography on
444 large, irregularly shaped cross sections. Beyond the basic software adjustments evaluated in this study, it

445 may be possible to further refine tomograms by adjusting some of the other advanced software settings
446 outlined in the PiCUS® user’s manual, but many of the adjustments address specific data quality issues
447 arising from the measurement or analysis of acoustic transmission speeds. It will be useful to examine the
448 influence of other software manipulations on the accuracy of tomograms in future work.

449

450 Practitioners often use sonic tomography to measure the internal condition of the lower trunk near the
451 expected location of severe decay, but it will also be important to consider the accuracy of sonic
452 tomography when selecting a location for decay measurement. The tomograms may be more accurate at
453 higher vertical positions on trees, since the trunk is often more circular and convex farther above ground,
454 but the measurements will be most useful for tree risk assessment if they depict the weakest part of the
455 tree. For concave cross sections, Gilbert et al. (2016) suggested that omitting buttress roots could avoid
456 some of the measurement errors associated with sonic tomography on large, irregularly-shaped cross
457 sections. By positioning acoustic sensors at the innermost part of the sinuses between buttress roots, the
458 measured travel times would not be affected by acoustic waves propagating around indentations between
459 buttress roots. However, it may not always be possible, depending on the shape of the cross section, to
460 install sufficient sensors at the recommended density using this modified approach, possibly limiting the
461 quality of tomograms (Wang et al. 2007a; Liang and Fu 2014). Moreover, the resulting diminutive
462 tomogram, excluding buttress roots, could not be directly used to estimate the tree part’s decreased load-
463 bearing capacity – a common motivation.

464

465 **Conclusions**

466 Sonic tomography provides a reasonable, minimally invasive estimate of a tree’s internal condition, but
467 the accuracy of sonic tomography varied widely among observations in this study, depending on the
468 cross-sectional geometry of the measured tree part. Given considerable differences in the accuracy of
469 tomograms, practitioners should report the relevant test configurations and assumptions used to produce
470 and interpret tomograms, alongside the anticipated measurement error for these choices, with their

471 professional recommendations. To avoid judgments misled by measurement uncertainty, it will also be
472 important to supplement sonic tomography measurements with additional, complementary evidence to
473 make informed decisions about tree risk management. At the same time, there is a need to develop
474 improved measurement and analysis methods, relying on robust assumptions about acoustic wave
475 propagation in wood, for sonic tomography of trees. Despite longstanding challenges for measurement
476 and modeling, the development of improved methods will diminish the problematic uncertainty currently
477 confronted by practitioners using sonic tomography. It will also be important to conduct similar,
478 comparative work on other commercially available devices, such as the Arbotom® (Rinntech-Metriwerk
479 GmbH, Heidelberg, Germany) and ArborSonic 3D (Fakkop Enterprise Bt, Agfalva, Hungary), with
480 measurements of damaged tree parts supplemented by test specimens constructed from synthetic
481 materials.

482

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488 *Author Contributions*

489 Daniel Burcham conceptualized and designed the study. Daniel Burcham, Nicholas Brazee, and Robert
490 Marra collected the data. Daniel Burcham analyzed the data and wrote the first draft of the manuscript,
491 and all authors reviewed and edited the subsequent versions of the manuscript, including the final version.

492 *Competing Interests*

493 The authors have no relevant financial or non-financial interests to disclose.

494 *Data and Code Availability*

495 The data used in this study was deposited in the Harvard Dataverse
496 (<https://doi.org/10.7910/DVN/RGJFMR>), and the MATLAB code used for image processing was
497 uploaded to a public GitHub repository (<https://github.com/danielburcham/geomProp>).
498

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582 **Table 1:** Descriptive statistics of the number, size, and shape of cross sections measured using sonic tomography from temperate and tropical
 583 biomes

Biome	Tomograms (<i>n</i>)	Cross-Sectional Area, <i>A</i> (m²)	Circularity, <i>C</i> (dimensionless)	Solidity, <i>S</i> (dimensionless)	Eccentricity, <i>E</i> (dimensionless)	Percent Damaged Cross- Sectional Area, <i>A_D</i> (%)
Temperate	51	0.18 (0.07, 0.33)	0.78 (0.59, 0.88)	0.98 (0.86, 0.99)	0.38 (0.07, 0.76)	34.0 (9.0, 58.0)
Tropical	21	1.13 (0.37, 2.23)	0.26 (0.07, 0.60)	0.69 (0.45, 0.95)	0.60 (0.31, 0.80)	33.3 (8.0, 63.9)

584 Note: The values listed in cells are mean (min, max). For more details about the individual cross sections measured with sonic tomography, see the
 585 original data used for analysis (<https://doi.org/10.7910/DVN/RGJFMR>).

586

587

588 **Table 2:** Analysis of variance of the effect of tree species on the accuracy of sonic tomograms A_D (error)
 589 (%)

Effect	df	F	p	Level	LS Mean (SE)
Species	3, 60	67.91	< 0.001	<i>A. saccharum</i>	-27.7 (3.1)a
				<i>B. alleghaniensis</i>	-23.2 (3.6)a
				<i>F. grandifolia</i>	-23.5 (2.8)a
				<i>P. indicus</i>	32.0 (3.2)b

590 Note: LS means followed by the same letter are not significantly different at the $\alpha = 0.05$ level.

591

592 **Table 3:** Parameter estimates, confidence intervals, and coefficients of determination for linear regression
 593 models describing the relationship between the accuracy of sonic tomograms, $A_D(\text{error})$ (%), and four
 594 geometric properties of the measured cross sections

	Cross-Sectional Area, A (m^2)	Circularity, C (dimensionless)	Solidity, S (dimensionless)	Eccentricity, E (dimensionless)
VB				
Intercept	-29.8	49.9	132.0	-49.6
(95% CI)	(-35.4, 24.1)	(41.2, 58.7)	(111.0, 152.9)	(-66.0, 33.1)
Slope	44.2	-94.4	-157.8	89.1
(95% CI)	(35.6, 52.8)	(-107.1, 81.7)	(-180.7, 134.8)	(54.3, 123.9)
r^2	0.60	0.76	0.73	0.27
GVB				
Intercept	-19.5	68.4	160.7	-42.9
(95% CI)	(-25.3, 13.6)	(59.8, 77.1)	(140.5, 181.0)	(-60.2, 25.7)
Slope	48.8	-104.0	-176.0	102.0
(95% CI)	(39.9, 57.7)	(-116.7, 91.4)	(-198.2, 153.9)	(65.4, 138.5)
r^2	0.63	0.79	0.78	0.31

595 Note: Using the default settings (SoT 1 – default) for the PiCUS® Q74 software, the models were fit to
 596 observations ($n = 72$) computed by selecting damaged areas in tomograms with violet and blue (VB) or
 597 green, violet, and blue (GVB), respectively. See the methods section for more information about the four
 598 geometric properties depicting the size and shape of cross sections.

599

600

601 **Table 4:** Analysis of covariance of the effects of software settings and color interpretation on the
 602 accuracy of sonic tomograms, $A_D(\text{error})$ (%)

Effect	df	<i>F</i>	<i>p</i>	Level	Parameter estimate (95% CI)	<i>p</i>
Settings	3, 563	25.65	< 0.001	SoT 1 – Default	-3.9 (-5.8, 1.9)	< 0.001
				SoT 1 – Expanded	-9.3 (-11.2, 7.3)	< 0.001
				SoT 2 – Default	-12.0 (-14.0, 10.1)	< 0.001
				SoT 2 - Expanded	-15.8 (-17.7, 13.9)	< 0.001
Colors	1, 563	140.75	< 0.001	VB	-16.1 (-17.5, 14.7)	< 0.001
				GVB	-4.4 (-5.8, 3.0)	< 0.001
Settings × Colors	3, 563	1.85	0.1375			
<i>G</i> × Settings	3, 563	32.36	< 0.001	SoT 1 – Default	-26.0 (-28.0, 24.1)	< 0.001
				SoT 1 – Expanded	-17.3 (-19.3, 15.4)	< 0.001
				SoT 2 – Default	-18.6 (-20.6, 16.7)	< 0.001
				SoT 2 - Expanded	-12.3 (-14.3, 10.3)	< 0.001
<i>G</i> × Colors	1, 563	18.49	< 0.001	VB	-16.4 (-17.8, 15.0)	< 0.001
				GVB	-20.7 (-22.1, 19.3)	< 0.001

603 Note: The fixed effects included in the model were the settings used to produce sonic tomograms with the
 604 PiCUS® Q74 software: SoT 1 calculation with the default maximal color space (SoT 1 – default), SoT 1
 605 calculation with the expanded maximal color space (SoT 1 – expanded), SoT 2 calculation with the
 606 default maximal color space (SoT 2 – default), and SoT 2 calculation with the expanded maximal color
 607 space (SoT 2 – expanded); the colors used to interpret the extent of damaged wood in tomograms: violet
 608 and blue (VB) and green, violet, and blue (GVB), and their interaction: settings × colors. The geometry
 609 covariate, *G* (dimensionless), used for the model depicted covariation in the cross-sectional area, *A* (m²),
 610 circularity, *C* (dimensionless), and solidity, *S* (dimensionless), of measured tree parts along a single axis
 611 determined using Principal Components Analysis, and the fixed effects were tested at the mean value of
 612 the covariate (*G* = 0). Computed from the factorial model, the parameter estimates depict the intercept
 613 (conditional effects) and slope (marginal effects) coefficients for the fixed effects and covariates,
 614 respectively.

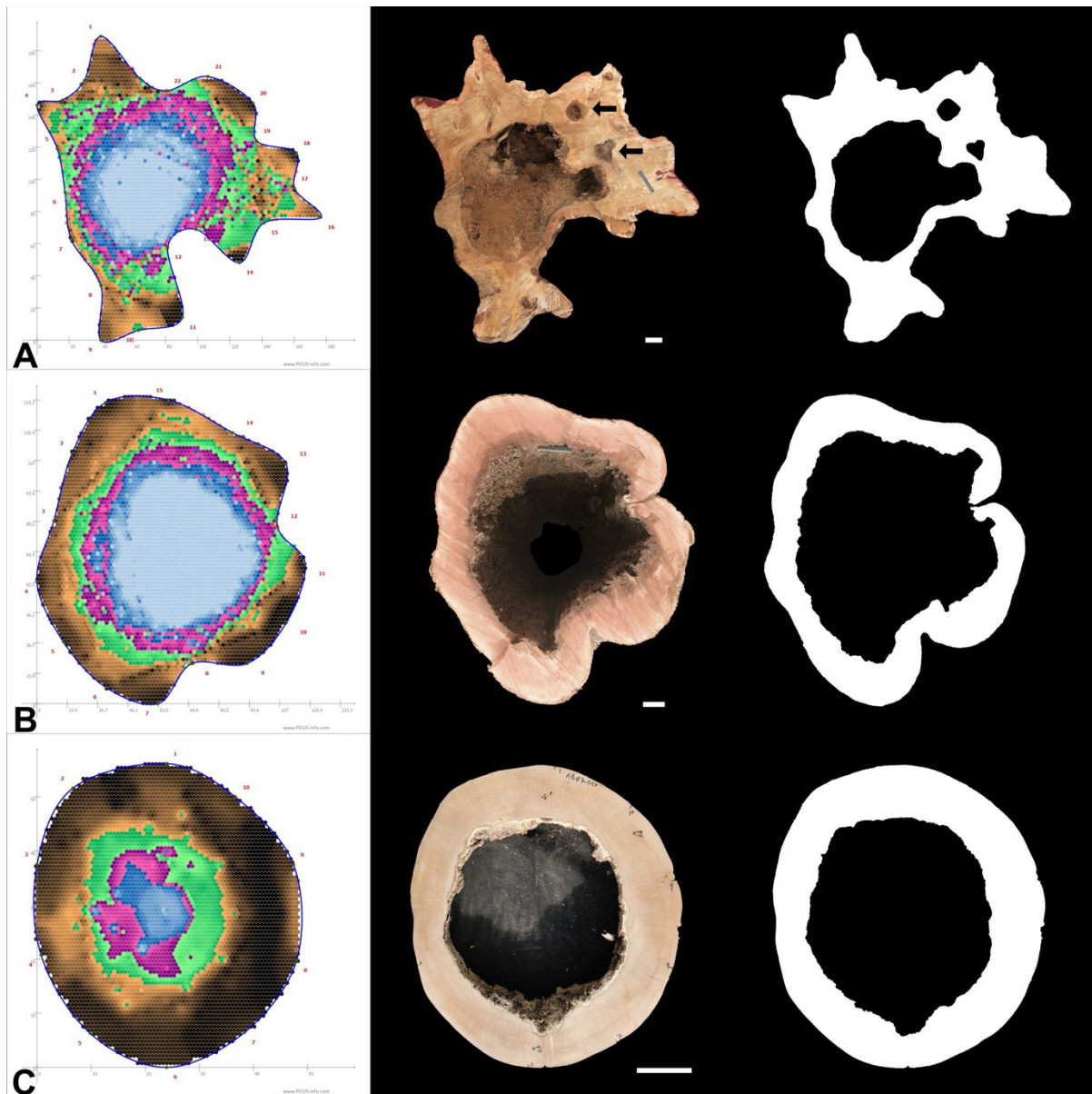
615
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617 Table 5: Mean separation for the analysis of covariance of the effects of software settings and color
 618 interpretation on the accuracy of sonic tomograms, $A_D(\text{error})$ (%)

	<i>Geometry</i>	-2.77	0	0.85
Settings				
SoT 1 – default		68.2 (2.9)a	-3.9 (1.0)a	-26.0 (1.3)ab
SoT 1 – expanded		38.7 (2.9)b	-9.3 (1.0)b	-24.0 (1.3)b
SoT 2 – default		39.5 (2.9)b	-12.0 (1.0)c	-27.8 (1.3)a
SoT 2 – expanded		18.3 (2.9)c	-15.8 (1.0)d	-26.3 (1.3)ab
Colors				
VB		29.4 (2.1)a	-16.1 (0.7)a	-30.1 (0.9)a
GVB		53.0 (2.1)b	-4.4 (0.7)b	-22.0 (0.9)b

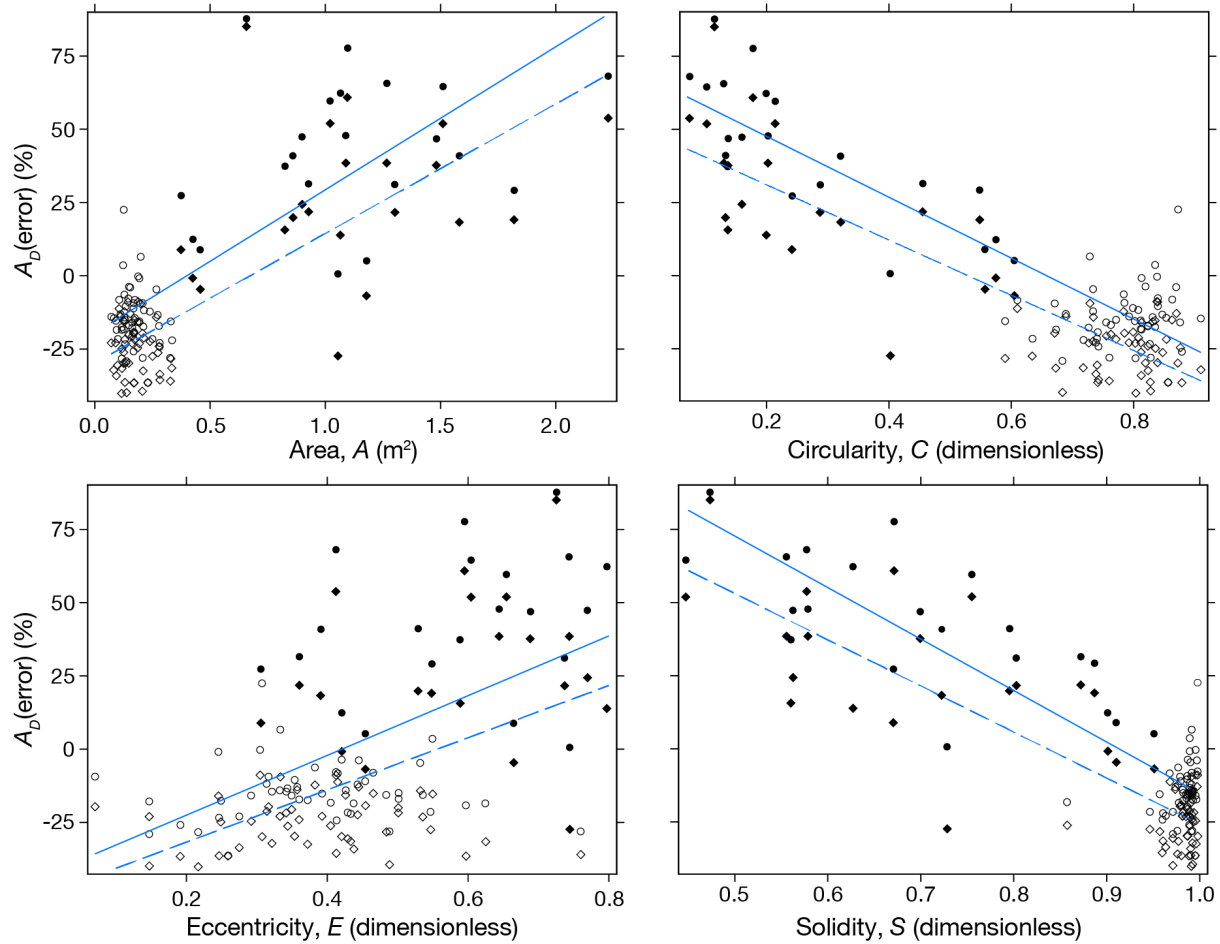
619 Note: The values listed in cells are least-squares (LS) means (SE). For each fixed effect at three values of
 620 the geometry covariate, LS means followed by the same letter are not significantly different at the $\alpha =$
 621 0.05 level.

622
 623



624

625 Figure 1: Using the PiCUS Sonic Tomograph 3, the accuracy of sonic tomography was examined by
 626 comparing tomograms (left) with the destructively measured internal condition (center) of trees with
 627 different cross-sectional geometries, including large, irregularly-shaped (A), large, round (B), and
 628 circular trees (C). For reference, the length of the white scale bar is 10 cm, and the default calculation
 629 method (SoT 1) was used to create the displayed tomograms. The reference photographs were manually
 630 converted into binary images (right), in which black (0) and white (1) represented the absence or
 631 presence, respectively, of solid wood. The extent of damaged wood depicted by specific colors in each
 632 tomogram was compared with the corresponding binary image. Using the default software settings, the
 633 amount of damage depicted in tomograms was noticeably greater (A) and less (C) than the actual extent
 634 of damaged wood in the large, concave ($A = 1.58 \text{ m}^2$, $S = 0.72$) angšana (*Pterocarpus indicus*) and small,
 635 circular ($A = 0.16 \text{ m}^2$, $S = 0.99$) American beech (*Fagus grandifolia*), respectively. In contrast, the amount
 636 of damage was more reasonably depicted (B) in the large, convex santol (*Sandoricum koetjape*) section (A
 637 $= 1.18 \text{ m}^2$, $S = 0.95$). Some tropical trees contained longitudinal voids (black arrows) formed by the
 638 natural grafting of adjacent buttress roots, and the voids were classified as damaged wood for comparison
 639 with tomograms, since they would similarly impede acoustic wave transmission.



640

641 Figure 2: Scatter plots and least squares regression lines of the actual difference between the percent
 642 damaged cross-sectional area determined using sonic tomography and destructive measurements,
 643 $A_D(\text{error})$ (%), against four different geometric properties of the measured temperate (open symbols) and
 644 tropical (filled symbols) cross sections, including, clockwise from upper left, cross-sectional area, A (m^2);
 645 circularity, C (dimensionless); solidity, S (dimensionless); and eccentricity, E (dimensionless). Using the
 646 default calculation settings (SoT 1 – default) for the PiCUS® Q74 software, the dashed and solid lines
 647 depict linear models fit to observations computed by selecting damaged areas in tomograms with violet
 648 and blue (diamonds) or green, violet, and blue (circles), respectively. See Table 3 for model parameter
 649 estimates and fit statistics.

650